# IN SREC'D PCT/PTO 0'3 APR 2008

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 29012/41880 U.S. APPLICATION NOU (If known, see 37 CFR 1.5)

INTERN	ATIONAL APPLICATION NO. PCT/EP2004/011046	INTERNATIONAL FILING DATE 4 October 2004	PRIORITY DATE CLAIMED 2 October 2003				
TITLE C	F INVENTION	Wheel for Goods Wagon and Wheel	LSet				
APPLICANT(S) FOR DO/EO/US							
Wolfram HOEHNE, Alfred LOHMANN, Jani, DEDE, Mario RETTIG, and Johannes STEPHANIDES  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. x This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.							
2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.							
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4.	The US has been elected (Article 3	1).					
5. x	x A copy of the International Application as filed (35 U.S.C. 371 (c)(2))						
a.[	a. x is attached hereto (required only if not communicated by the International Bureau).						
b.[	x has been communicated by the	e International Bureau.	^ <del> </del>				
с.[	is not required, as the applicati	on was filed in the United States Receiv	ring Office (RO/US).				
6. X	An English language translation of	the International Application as filed (35	U.S.C. 371(c)(2)).				
a.[	x is attached hereto.	,					
b.[	has been previously submitted	under 35 U.S.C. 154(d)(4).	·				
7. X	Amendments to the claims of the In	ternational Application under PCT Artic	de 19 (35 U.S.C. 371(c)(3))				
а.[	a. are attached hereto (required only if not communicated by the International Bureau).						
b.[	have been communicated by the International Bureau.						
с.[	have not been made; however,	, the time limit for making such amendm	ents has NOT expired.				
d.	x have not been made and will n	ot be made.					
8.	An English language translation of	the amendments to the claims under PC	CT Article 19 (35 U.S.C. 371(c)(3)).				
9	An oath or declaration of the invent	or(s) (35 U.S.C. 371(c)(4)).					
10.	An English language translation of Article 36 (35 U.S.C. 371(c)(5)).	the annexes of the International Prelimi	nary Examination Report under PCT				
Item	is 11 to 20 below concern docum	nent(s) or information included:					
11. X	An Information Disclosure Staten	nent under 37 CFR 1.97 and 1.98.					
12.	An assignment document for recor	ding. A separate cover sheet in complia	ance with 37 CFR 3.28 and 3.31 is included.				
13. X	A preliminary amendment.						
14. X	An Application Data Sheet under	37 CFR 1.76.					
15.	A substitute specification.						
16.	A power of attorney and/or change	e of address letter.					
17	A computer-readable form of the s	sequence listing in accordance with PC	CT Rule 13ter.2 and 37 CFR 1.821 – 1.825.				
18.	A second copy of the published In	ternational Application under 35 U.S.C	C. 154(d)(4).				
19	A second copy of the English lang	uage translation of the international ap	oplication under 35 U.S.C. 154(d)(4).				

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U.S. APPLICATION O. (II Known, see 37 CFR 15) 7 INTERNATIONAL APPLICATION OF THE PORT OF T						ATTORNEY'S DOCKET NUMBER 29012/41880			
20. x Other items or information: International Search Report; return receipt postal card									
The foll	owing fees hav	ve been submitte	ed		•	CA	LCULATION	ıs	PTO USEONLY
21. x Basic	c national fee (	37 CFR 1.492(a	))		\$300	\$	300.0		
22. x Exan	nination fee (37	CFR 1.492(c))			•				
If the written opin	If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 \$ 200.00								
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International Sea	rch Report prepa	red by an ISA other	than the US and provide	ed to t	the Office or	Ť			
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			e, examination fee, or the (37 CFR 1.492(h)).	e oath	or declaration	\$			
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Total clair		16 - 20 =		x		0.00			
Independent		2 - 3 =		х			0.0	00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) +									
TOTAL OF ABOVE CALCULATIONS = \$ 1,000.00									
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.									
SUBTOTAL					SUBTOTAL =	\$	1,000.0	00	-
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).									
TOTAL NATIONAL FEE = \$ 1,000.00									
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +									
TOTAL FEES ENCLOSED = \$ 1,000.00									
						ount to be nded:	\$		
					Amount to be charged \$				

## 10/574327

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a. X A check in the amount of \$1,000.00 to cover the abo	IAP20 Rec'G FOTIFTO v3 APR 2006
b. Please charge my Deposit Account No. in the am A duplicate copy of this sheet is enclosed.	ount of \$ to cover the above fees.
c. X The Commissioner is hereby authorized to charge any additional fees Account No. 13-2855 . A duplicate copy of this sheet	
d. Fees are to be charged to a credit card. WARNING: Information on should not be included on this form. Provide credit card information	this form may become public. Credit card information tion and authorization on PTO-2038
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not bee filed an granted to restore the International Application to pending statu	n met, a petition to revive (37 CFR 1.137(a) or (b)) must be us.
SEND ALL CORRESPONDENCE TO:	SIGNATURE  James P. Zeller  NAME
CUSTOMER NUMBER: 04743	28,491
	REGISTRATION NUMBER
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#### 10/574327 IAP20 Rec'd POTATIO 03 APR 2006 PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hoehne et al.	)	For: WHEEL FOR GOODS WAGON AND WHEEL SET
U.S. National Phase of	)	WIEEL SET
PCT/EP2004/011046 filed October 4,	)	Group Art Unit: To be assigned
2004	j –	•
	j)	Examiner: To be assigned
Filed: Herewith	j	_
	)	

#### **CERTIFICATION UNDER 37 C.F.R. § 1.10**

the United States Postal Service on April 3, 2006, in a postage-paid envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV686094953US.

- (a) Transmittal letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. §371;
- (b) Application as filed;
- (c) Translated application, including seven sheets of description, four sheets of claims, and four sheet of drawings;
- (d) Application Data Sheet;
- (e) Preliminary Amendment;
- (f) Information Disclosure Statement; and
- (g) International Search Report.

Richard Zimmermann